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TOANGMITTAL		Filing Date	10/563,945			
TRANSMITTAL		First Named Inventor		January 10, 2805 ANDREAS WAWRLA and RIDAUS ZUCHOLL		
FORM		Art Unit	ANDREAS	VVAVVRLA BIIQNL	NOS ZUCHOLL	
		Examiner Name	1 3 4	APP 10 2	P. \	
(to be used for all correspondence after initi	al filing)	Attorney Docket Number	ATEL	"H 7 0 2005	ω <u> </u>	
Total Number of Pages in This Submission		Attorney Docket Number	197	WEMPHONE OF	/	
ENCLOSURES (Check all that apply)						
Fee Transmittal Form Fee Attached Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Reply to Missing Parts/ Incomplete Application	Fee Attached Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s)		Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Address Terminal Disclaimer Request for Refund CD, Number of CD(s) Landscape Table on CD		Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please Identify below): appended references, Abstracts and Search Reports	
Reply to Missing Parts under 37 CFR 1.52 or 1.53		OF APPLICANT, ATTO	ORNEY (OR AGENT		
Firm Name				,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		
William D. Breneman, E	sq.; BKE	VEWAN & GEUKGES				
Signature William Bannen						
Printed name William D. Breneman						
Date April 10, 2006		Reg. No.	Reg. No. 26,714			
CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with						
sufficient postage as first class mail in an the date shown below:	envelope a	ddressed to: Commissioner t	or Patents,	P.O. Box 1450, /	Alexandria, VA 22313-1450 on	
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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

ANDREAS WAWRLA and KLAUS ZUCHOLL

U.S. Serial No.: 10/563,945

Group Art Unit:

Filed: January 10, 2006

Examiner:

For: FILTER CARTRIDGE

April 10, 2006

INFORMATION DISCLOSURE STATEMENT

Honorable Commissioner of Patents and Trademarks P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to Rule 56 of the Rules of Practice in Patent and Trademark Cases (37 CFR § 1.56) Applicants submit copies of references uncovered in an International Search Report mailed October 12, 2004, a Second and Supplementary Notice Informing the Applicant of the Communication of the International Application mailed November 10, 2005, Notification concerning Transmittal of Copy of International Application as Published mailed January 20, 2005, a search report by the German Patent Office dated September 13, 2005 of prior art searched in Germany, Austria, Switzerland,

ANDREAS WAWRLA and KLAUS ZUCHOLL U.S. Serial No.: 10/563,945

France, Great Britain, USA, Japan (abstracts), former USSR (abstracts), European Patent Office and WIPO and a Notification concerning Transmittal of a Copy of International Preliminary Report on Patentability mailed January 26, 2006 and, International Preliminary Report on Patentability dated January 16, 2006. Copies of the abstracts of these references in English to the extent available are attached as Exhibit A.

The cited references including search report(s) and literature are included on Form PTO-1449 appended hereto as Exhibit B and are as follows:

German References

DE 198 27 623 A1 (AWECO Kunststofftechnik Geraetebau GmbH & CO KG)
January 7, 1999

DE G 81 00 166.5 (Officine Cimbali Giuseppe S.p.A.) May 21, 1981

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January 7, 1999

DE 10 2004 023 032 A1 (MECOSWISS Mechanische Componenten GmbH & Co. KG)
December 9, 2004

Internat. References

WO 2005/005013 A1 (Wawrla, Andreas et al.) January 20, 2005

ANDREAS WAWRLA et al. U.S. Serial No.: 10/563,945

France 2 475 520 (Officine Cimbali Giuseppe SPA) December 23, 1980

UK 1 448 844 (Dynek Corporation) September 8, 1976

US 5,860,354 (Jouatel et al.) January 19, 1999

US 6,156,213 (Dudley, David E. et al.)
December 5, 2000

US 3,950,253 (Stern, Emanuel) April 13, 1976

Abstracts in English of the cited German references have been obtained where available and are included herewith as Exhibit A. These references are relevant in view of the fact they were uncovered and cited in the corresponding foreign priority application and their relevancy is described in the Search Report(s).

Although no fee is believed required for the Information Disclosure Statement, the Commissioner is hereby authorized to charge any additional fee or credit any overpayment which may be required by this Information Disclosure Statement to Deposit Account No. 02-3702.

ANDREAS WAWRLA et al.

U.S. Serial No.: 10/563,945

A <u>duplicate</u> copy of this sheet is attached.

Respectfully submitted,

BRENEMAN & GEORGES

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PTO/SB/08A (08-03)

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Sheet 1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known			
Application Number	10/563,945		
Filing Date	January 10, 2006		
First Named Inventor	ANDREAS WAWRLA et al		
Art Unit			
Examiner Name		_	
Attorney Docket Number			

			U. S. PATEN	DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	 	US- 5 000 054	04.40.4000	Invested at all	<u> </u>
		^{US-} 5,860,354	01-19-1999	Jouatel et al.	
		^{US-} 6,156,213	12-05-2000	Dudley, David E. et	
		^{US-} 3,950,253	04-13-1976	Stern, Emanual	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T ⁶
		DE 198 27 623 A1	01-07-1999	AWECO Kunststof		
		DE G 81 00 166.5	05-21-1981	Officine Cimbal		
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		WO 2005/005013 A1	01-20-2005	Wawrla, Andreas		
		FR 2 475 520	12-23-1980	Officine Cimbal		

Examiner		Date	
Signature		Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering; preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Sheet 2

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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of

Application Number	10/563,945
Filing Date	January 10, 2006
First Named Inventor	ANDREAS WAWRLA et al
Art Unit	
Examiner Name	
Attorney Docket Number	

Complete if Known

U. S. PATENT DOCUMENTS Examiner Publication Date Name of Patentee or Pages, Columns, Lines, Where **Document Number** Initials* MM-DD-YYYY Applicant of Cited Document Relevant Passages or Relevant Figures Appear Number-Kind Code² (if known) US-US-US-US-US-US-US-US-US-US-US-US-US-US-US-US-US-

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY	.,,	Or Relevant Figures Appear	Т
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